

APR 03 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan Shapiro Examiner: Graham, C. B.
Serial No.: 09/758,777 Group Art Unit: 3628
Filed: January 11, 2001 Confirmation No.: 1711
Attorney Docket No.: 103569/43140

Title: **PREDICTIVE AUTOMATED ROUTING SYSTEM (PARS) FOR
SECURITIES TRADING**

Mail Stop Response
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

In response to the office action, dated December 2, 2005 please amend the present
application as follows:

Claim amendments begin on page 2 of the amendment and response.

Applicant's Remarks begin on page 17 of the amendment and response.